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SERIAL NUMBER 09/955,394	FILING OR 371(c) DATE 09/17/2001 RULE	CLASS 705	GROUP ART UNIT 3694	ATTORNEY DOCKET NO. 02821.P004X
APPLICANTS Jason S. Scott, San Carlos, CA; ✓ Christopher L. Jones, Redwood Shores, CA; ✓ James W. Shearer, San Francisco, CA; ✓ John G. Watson, Menlo Park, CA; ✓				
** CONTINUING DATA ***** <i>verified me</i> This application is a CIP of 09/151,715 09/11/1998 PAT 6,292,787 ** FOREIGN APPLICATIONS ***** <i>None me</i>				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 10/25/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Verified and Allowance Acknowledged <i>mar</i> Examiner's Signature Initials		STATE OR COUNTRY CA	SHEETS DRAWING 10	TOTAL CLAIMS 41
INDEPENDENT CLAIMS 7				
ADDRESS Michael A. DeSanctis BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 7th Floor 12400 Wilshire Boulevard Los Angeles, CA90025				
TITLE Enhancing utility and diversifying model risk in a portfolio optimization framework				
FILING FEE RECEIVED 1538	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	